

**Policies and Procedures for Standards Development for the  
Insulated Conductors Committee (ICC)**

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Baseline Policies and Procedures for Standards Development - Sponsor  
IEEE-SA Standards Board Approved December 2017

# **Insulated Conductors Committee (ICC) Policies and Procedures for Standards Development**

## **1.0 Introduction**

### **1.1 Role of Standards Development and these Procedures**

In today's technological environment, standards play a critical role in product development and market competitiveness. In the IEEE, the responsibility for how a standard originates and evolves is managed by a Sponsor. It is essential in the management of a standard's development to avoid any actions by the Sponsor or the participants that result in a violation of procedures. These procedures establish the necessary framework for a sound standardization process.

### **1.2 Conduct**

Meeting attendees and participants in standards activities shall demonstrate respect and courtesy toward each other and shall allow each participant a fair and equal opportunity to contribute to the meeting discussion. While participating in IEEE standards development activities, all participants, including but not limited to, individuals, entity representatives, entity members, entities participating directly in the entity process, and entities participating indirectly in the individual process shall act in accordance with all applicable laws (nation-based and international), the [IEEE Code of Conduct](#), the [IEEE Code of Ethics](#), and with [IEEE-SA Standards Board Bylaws](#) (see *IEEE-SA Standards Board Bylaws* Clause 5.2.1 on "Participation in IEEE standards development") and [IEEE-SA Standards Board Operations Manual](#).

### **1.3 Modification to these Procedures**

The official policies of this Sponsor are those that have been accepted by the IEEE-SA Standards Board based on the recommendation of the Audit Committee (AudCom), and are available online on the [IEEE-SA Standards Board AudCom website](#). No other copy shall be designated as the official copy. Any changes that the Sponsor desires to make to the procedures shall not be valid until revised policies have been recommended for acceptance by AudCom and accepted by the IEEE-SA Standards Board.

None of the rules or requirements in these policies and procedures may be suspended.

### **1.4 Hierarchy**

Participants engaged in the development of standards shall comply with applicable federal, state, and international laws. In addition, for standards matters, the latest versions of several documents take precedence over this document, in the following order:

[New York State Not-for-Profit Corporation Law](#)

[IEEE Certificate of Incorporation](#)  
[IEEE Constitution](#)  
[IEEE Bylaws](#)  
[IEEE Policies](#)  
[IEEE Board of Directors Resolutions](#)  
[IEEE Standards Association \(IEEE-SA\) Operations Manual](#)  
[IEEE-SA Board of Governors Resolutions](#)  
[IEEE-SA Standards Board Bylaws](#)  
[IEEE-SA Standards Board Operations Manual](#)  
[IEEE-SA Standards Board Resolutions](#)

*Robert's Rules of Order Newly Revised (RONR)* is the recommended guide on questions of parliamentary procedure not addressed in these procedures.

## **1.5 Fundamental Principles of Standards Development**

These Policies and Procedures outline the orderly transaction of standards activities of Insulated Conductors Committee (ICC). Hereinafter referred to as “the Sponsor” For the development of standards, openness and due process shall be applied, which means that any person with a direct and material interest who meets the requirements of these Policies and Procedures has a right to participate by:

- a) Expressing a position and its basis,
- b) Having that position considered, and
- c) Appealing if adversely affected.

Due process allows for equity and fair play. In addition, due process requires openness and balance (i.e., the standards development process should strive to have a balance of interests and not to be dominated by any single interest category). However, for the IEEE Standards Sponsor ballot, there shall be a balance of interests without dominance by any single interest category.

## **1.6 Definitions**

*Written communication* includes but is not limited to meeting minutes, letter, email, and fax.

*Sponsors* of IEEE standards projects are committees that are responsible for the development and coordination of the standards project and the maintenance of the standard after approval of the standard by the IEEE-SA Standards Board. (see *IEEE-SA Standards Board Bylaws* Clause 5.2.2 on “Sponsors”).

*Responsible Subcommittee* is an optional subgroup of the Sponsor with delegated responsibility for approving the submission of PARs and Sponsor ballots. Responsible Subcommittees assist the Sponsor committee in the control and management of a large scope of work involving multiple working groups. A PAR study group or a working group (WG) is not a Responsible Subcommittee.

*Administrative subgroup* (e.g., AdCom or ExecCom) is an optional subgroup of the Sponsor responsible for specified activities and decisions. An Executive Committee can include all the voting members of the Sponsor committee.

A *participant* is an individual involved in the standards development process (see *IEEE-SA Standards Board Bylaws* Clause 5.2.1 on “Participation in IEEE standards development”).  
NOTE: Participants can be members or non-members of IEEE, IEEE-SA, or the Sponsor.

A *non-member* is a participant who has not satisfied the criteria for membership defined in Clause 4.2.

A *member* is a participant who has satisfied the criteria for membership defined in Clause 4.2. A member is eligible to be listed on the Sponsor roster.

A *non-voting member* is a member who has not satisfied the criteria for voting membership defined in Clause 4.3.

A *voting member* is a member who has satisfied the criteria for voting membership defined in Clause 4.3. A voting member can participate in Sponsor motions and any ballots of the Sponsor.

## **1.7 IEEE Sponsor Scope**

Treatment of all matters in which the dominant factors are the design, manufacture, installation, operation, testing and maintenance of conductors, including fiber cores, having applied insulation or covering and their required materials, accessories and structures used in the generation, transmission, distribution and utilization of electric energy.

Included is the treatment of conductors, insulations, shields or screens, sheaths and coverings, accessories, structures, tests and measurements and other components for insulated conductor application. Insulations that are an integral part of machinery, such as magnet wire, are excluded.

Also included is treatment of the insulated conductors and facilities required for cable systems at power generating stations, substations, transmission, distribution and utilization levels, and cable and cable systems for special purposes or applications, including all cables identified in the National Electrical Code and associated documents, but not excluding international standards, as necessary to further the proper development of products specified in the first paragraph.

Joint or coordinated treatment of certain subjects or applications as it relates to insulated conductors may be required when the subject or application is covered by another Society or Committee having a particular scientific interest or a specific emphasis on particular materials or requirements of the application. This coordinated effort includes similar or identical standards development work of recognized international organizations.

The ICC currently has six technical subcommittees and one administrative subcommittee. The ICC Subcommittees and scopes are provided in the following table:

<b><i>Subcommittee Designation</i></b>	<b>Subcommittee Name</b>	<b>Scope</b>
<i>A</i>	<i>Cable Construction and Design</i>	<i>All issues related to materials, construction, design and manufacturing of insulated cables.</i>
<i>B</i>	<i>Cable Accessories</i>	<i>All issues related to cable terminations, joints, junctions, and ancillary cable equipment.</i>
<i>C</i>	<i>Cable Systems</i>	<i>All issues related to installation and operation of cable systems, and all testing and diagnostics of cables tested in the factory/laboratory and cable systems tested under controlled conditions such as pre-qualification tests.</i>
<i>D</i>	<i>Generating Station and Industrial Cables</i>	<i>All issues with cable systems for power, control, signal, data, communication, and fiber optic applications for use in generating stations, substations, industrial facilities, shipboards, military installations, submarines, mining, and transit/locomotive cars.</i>
<i>E</i>	<i>Administrative</i>	<i>All issues related to the effective, efficient operation of the ICC and the development and presentation of special ICC related activities. The Administrative Subcommittee includes activities of both the Executive Committee and the Administrative Committee, as well as the education and training activities.</i>
<i>F</i>	<i>Field Testing and Diagnostics of Cable Systems</i>	<i>All issues related to testing and diagnostics of cable systems installed in the field for service use, i.e., systems exposed to or intended for real service aging. This includes acceptance, installation, and maintenance testing, as well as tests done in the laboratory on service-aged equipment removed from the field.</i>
<i>G</i>	<i>Transnational Activities</i>	<i>Reporting of construction and operation of large cable system installations worldwide. Interfacing of the ICC with national and international standards organizations outside of the IEEE.</i>

## **1.8 Organization of the Sponsor**

The Sponsor shall consist of officers (see Clause 3) and other members.

## **2.0 Responsibilities of the Sponsor**

The Sponsor shall be responsible for at least the following:

- a) Developing proposed IEEE standards and ensuring that they are within the scope of the Sponsor.
- b) Initiating and overseeing ballots of proposed IEEE standards within its scope, including:
  - 1) Approving Project Authorization Requests (PARs) from a subgroup or the Sponsor.
  - 2) Submitting a proposed PAR to the IEEE-SA Standards Board for consideration. Following approval of the PAR, the Sponsor shall submit necessary requests for extension, modification, or withdrawal of the PAR as required by the *IEEE-SA Standards Board Operations Manual*.
  - 3) Submitting draft standards from a subgroup or the Sponsor to the IEEE-SA for Sponsor ballot.
- c) Maintaining the standards developed by the Sponsor.
- d) Acting on other matters requiring Sponsor effort as provided in these procedures.
- e) Cooperating with other appropriate standards development organizations.
- f) Protecting against actions taken in the name of the Sponsor without proper Sponsor authorization.
- g) Limiting distribution of the membership roster to appropriate parties.
- h) Communicating with the IEEE-SA Standards Board on specific Sponsor activities as needed.
- i) Handling appeals as described in Clause 9.
- j) Access and use IEEE's data, including personal data, from IEEE systems for the purposes intended, including to support the technical development work on the standard, and only in compliance with IEEE or IEEE-SA Privacy and data privacy policies.

## **2.1 Sponsorship**

The Insulated Conductors Committee (ICC) is responsible for the development and coordination of standards project(s) including their maintenance after their approval as standard(s) by the IEEE-SA Standards Board. Specifically, the Insulated Conductors Committee (ICC) is responsible for those IEEE-SA Standards Board approved project(s) for which Insulated Conductors Committee (ICC) has been identified or assigned as either the sole sponsor or the primary sponsor. The development and maintenance of standards shall be accomplished as defined within the clauses of these Policies and Procedures.

## **3.0 Officers**

There shall be a Chair, a Vice-Chair /Treasurer, and a Secretary. A person may simultaneously hold the positions of Treasurer and another office, other than Chair.

Additional officers of the ICC are as follows:

- a) Immediate Past Chair
- b) Second Vice-Chair
- c) Assistant Secretary
- d) Awards Chair

The officers (and any person designated to manage the Sponsor ballot) shall be members of any grade of the IEEE, or IEEE Society affiliates, and also members of the IEEE-SA (see *IEEE-SA Standards Board Bylaws* Clause 5.2.1 on “Participation in IEEE standards development”). The officers shall organize the Sponsor, oversee the Sponsor’s compliance with these Policies and Procedures, and submit proposed documents approved by the Sponsor (with supporting documentation) for appropriate review and approval by the IEEE-SA Standards Board. Officers shall read the relevant training material available through [IEEE Standards Development Online](#).

### **3.1 Election or Appointment of Officers**

The Sponsor Chair shall be appointed in accordance with the procedures of the Technical Council of the IEEE Power and Energy Society IEEE/PES Technical Council.

The Sponsor Chair shall appoint other officers. The officers shall include a Vice-Chair / Treasurer, Secretary, Assistant Secretary, and may also include other officers.

Officers shall be elected in accordance with the following procedures:

The Chair in collaboration with the Past Chair and the Vice-Chair shall identify potential and qualified candidates for the position of Second Vice-Chair. The Second Vice-Chair is appointed during the first or second year of the ICC Chair’s appointment. The Chair shall contact the proposed candidate(s) and determine if they are willing to serve. The Chair in collaboration with the Immediate Past Chair and Vice-Chair shall recommend a qualified candidate for the position of Second Vice-Chair and recommend the nominee to the Administrative Committee (AdCom) for confirmation by vote. A two-thirds majority vote is required for confirmation. Once confirmed by the Administrative Committee, the ICC Chair must submit in writing the nomination for the new ICC Second Vice-Chair to the Technical Council of the IEEE Power and Energy Society, who approves the candidate unless he/she is aware of a reason(s) for rejection.

The Chair in collaboration with the Vice-Chair and with input from the Administrative Committee shall identify potential and qualified candidates for vacancies in the following officer positions:

- a. Second Vice-Chair
- b. Secretary/Treasurer (may be the same person)
- c. Assistant Secretary

- d. Standards Coordinator
- e. Awards Chair

The Chair shall contact the proposed candidate(s) and determine if they are willing to serve. The Chair shall recommend a qualified candidate for each vacant position to the Administrative Committee for confirmation by vote. A two-thirds majority vote is required for confirmation.

Nominees shall be eligible to hold the office for which they are appointed/elected. A person shall be nominated for no more than one office. Upon written notification, the nominee shall have up to 30 calendar days to indicate acceptance or rejection of the nomination. If no nomination is received or accepted for an office, a temporary appointment shall be made in accordance with Clause 3.3.

Notice of appointment to each officer position is provided to the Chair of the IEEE/PES Technical Council.

The Sponsor (Technical Committee) employs automatic progression of its officers from Second Vice-Chair to Vice-Chair to Chair to Past Chair, in an eight-year time span. Progression (at two-year intervals) from Second Vice-Chair to Vice-Chair to Chair to Immediate Past Chair is subject to the appointment process indicated in the preceding paragraph.

### **3.2 Temporary Appointments to Vacancies**

If an office other than the Chair is vacant for any reason (such as resignation, removal, lack of nomination at an election), a temporary appointment shall be made by the Chair for a period of up to 12 months. An appointment or election for the vacated office shall be made in accordance with requirements in Clause 3.0 and Clause 3.1.

### **3.3 Removal of Officers**

An officer may be removed by a two-thirds vote of the voting members of the Sponsor meeting in Executive Session. Grounds for the removal shall be included in any motion to remove an officer. Such a motion shall be presented to the Administrative Committee and approved by a two-thirds vote prior to submitting the motion to the voting membership of the Sponsor. The officer suggested for removal shall be given an opportunity to make a rebuttal prior to the vote on the motion for removal.

### **3.4 Responsibilities of Officers**

When carrying out the duties of an officer described in IEEE's policies and procedures, officers of the Sponsor:

- a) shall not act:
  - 1) in bad faith;

- 2) to the detriment of IEEE-SA;
  - 3) to further the interest of any party outside IEEE over the interest of IEEE; or
  - 4) in a manner that is inconsistent with the purposes or objectives of IEEE; and
- b) shall use reasonable efforts to ensure that participants of the Sponsor conduct themselves in accordance with applicable policies and procedures including, but not limited to, the *IEEE-SA Standards Board Bylaws* Clause 5.2.1 on “Participation in IEEE standards development.” (See Clause 1.2.)

The officers of the Sponsor shall manage the day-to-day work of the Sponsor. The officers are responsible for implementing the decisions of the Sponsor and managing the activities that result from those decisions.

### **3.4.1 Chair**

The responsibilities of the Chair shall include:

- a) Leading the activity according to all of the relevant policies and procedures.
- b) Forming study groups, as necessary.
- c) Being objective.
- d) Entertaining motions, but not making motions.
- e) Not biasing discussions.
- f) Delegating necessary functions.
- g) Ensuring that all parties have the opportunity to express their views.
- h) Setting goals and deadlines and adhering to them.
- i) Being knowledgeable in IEEE standards processes and parliamentary procedures and ensuring that the processes and procedures are followed.
- j) Seeking consensus of the Sponsor as a means of resolving issues.
- k) Prioritizing work to best serve the Sponsor and its goals.
- l) Complying with the Chair’s responsibility with respect to the IEEE-SA Intellectual Property Policies, including but not limited to the IEEE-SA Patent Policy (see “Patents” Clause 6 of *IEEE-SA Standards Board Bylaws* and “Call for patents” Clause 6.3.2 of *IEEE-SA Standards Board Operations Manual*) and Copyright (see “Copyright” Clause 7 of *IEEE-SA Standards Board Bylaws* and Clause 6.1 of the *IEEE-SA Standards Board Operations Manual*).
- m) Submitting approved Sponsor P&P to the IEEE-SA Standards Board Audit Committee (AudCom – see <http://standards.ieee.org/board/aud/index.html>).

- n) Ensuring that each Working Group has a set of P&P approved by the Sponsor. The Chair will provide the Working Group P&Ps to AudCom upon request.
- o) Ensuring the submission of an annual financial report(s) for the operation of the Sponsor and all of its standards development committees (e.g., Working Groups, task groups).
- p) Being familiar with training materials available through [IEEE Standards Development Online](#).
- q) Notifying IEEE SASB of any officer election/appointment, removal, and changes in status.

### **3.4.2 Vice-Chair**

The responsibilities of the Vice-Chair(s) shall include:

- a) Carrying out the Chair's duties if the Chair is temporarily unable to do so or chooses to recuse himself or herself (e.g., to give a technical opinion) or carrying out those duties specifically delegated by the Chair to the Vice-Chair.
- b) Being knowledgeable in IEEE standards processes and parliamentary procedures and assisting the Chair in ensuring that the processes and procedures are followed.
- c) Being familiar with training materials available through [IEEE Standards Development Online](#).
- d) Recording the minutes of meetings, effectively acting as recording secretary for ExCom held in Executive Session (i.e., by members of the Executive Committee or “ExCom”).

### **3.4.3 Secretary**

The responsibilities of the Secretary include:

- a) Scheduling meetings in coordination with the Chair and distributing a meeting notice in conformance with Clause 6.0.
- b) Distributing the agenda at least 14 calendar days before the meeting—notification of the potential for action shall be included on any distributed agendas for meetings.
- c) Recording minutes of each meeting according to Clause 6.4 and IEEE guidelines (see <http://standards.ieee.org/develop/policies/stdslaw.pdf>), and publishing them within 60 calendar days of the end of the meeting.
- d) Creating and maintaining the membership roster, referred to in 4.7, and submitting it to the IEEE Standards Association annually.
- e) Being responsible for the management and distribution of Sponsor documentation.
- f) Maintaining lists of unresolved issues, action items, and assignments.

- g) Recording attendance of all attendees.
- h) Maintaining a current list of the names of the voting members and distributing it to the members upon request.
- i) Forwarding all changes to the roster of voting members to the Chair.
- j) Being familiar with training materials available through [IEEE Standards Development Online](#).
- k) Maintaining (filing/storing) the minutes of meetings of the Executive Committee (“ExCom”).

#### **3.4.4 Treasurer**

The Treasurer shall:

- a) Maintain a budget, if applicable.
- b) Control all funds, including any into and out of the Sponsor’s bank account, if applicable.
- c) Follow IEEE policies concerning standards meetings and finances.
- d) Ensure that the Sponsor adheres to the [IEEE Finance Operations Manual](#) and the Annual Financial Report clause in the [IEEE-SA Standards Board Operations Manual](#).
- e) Be familiar with training materials available through [IEEE Standards Development Online](#).
- f) Maintain records of transactions as necessary for semi-annual internal audit by the Insulated Conductors Committee.
- g) Maintain records of transactions and other materials as necessary in support of an annual audit by the IEEE, if required.

#### **3.4.5 Standards Coordinator Chair**

The responsibilities of the Standards Coordinator Chair include:

- a) Tracking the current status and scope for all standards sponsored by the Sponsor, avoiding scope overlap, and reporting status for projects sponsored by the Responsible Subcommittee.
- b) Coordinating activities with the IEEE-SA Standards Board and other Responsible Subcommittee Chairs of the Sponsor, as appropriate.
- c) Checking that documentation for opening a standards project authorization request (PAR) and for submitting a draft standard for ballot is complete and correct by performing a review prior to submission.
- d) Assisting in the creation of Working Groups and authorizing the Working Group Chair through the IEEE-SA myProject process.

- e) Attending meetings of the Sponsor and reporting any standards requiring immediate or urgent action.
- f) Assisting with fulfilling the responsibilities of the Sponsor Chair as described in 3.4.1, as requested, except for submitting the Sponsor's P&P.

### **3.4.6 Assistant Secretary**

The responsibilities of the Assistant Secretary shall include:

- a) Carrying out the Secretary's duties if the Secretary is temporarily unable to do so or chooses to recuse himself or herself (e.g., to give a technical opinion) or carrying out those duties specifically delegated by the Chair, Vice-Chair or Secretary to the Assistant Secretary.
- b) Being knowledgeable in IEEE standards processes and parliamentary procedures and assisting the Chair in ensuring that the processes and procedures are followed.
- c) Being familiar with training materials available through [IEEE Standards Development Online](#).

### **3.4.7 Awards Chair**

The responsibilities of the Awards Chair shall include:

- a) Selecting and coordinating award candidates for the committee meetings including, formatting and submitting applications for awards.
- b) Participating in other Executive Committee activities as needed.

## **4.0 Membership**

### **4.1 Attendance at Meetings**

A participant shall attend at least 50% of a meeting's duration as stated in the approved agenda and satisfy any requirements of Clause 6.3 for that attendance to count towards gaining or maintaining voting membership.

Attendance at a meeting via teleconferencing or electronic means (e.g., Internet conferencing) counts towards the attendance requirements.

### **4.2 Non-voting Membership**

Not Applicable.

### **4.3 Voting Membership**

Voting membership shall be granted automatically to those participants attending the first meeting of a newly chartered Sponsor upon request.

A participant becomes a voting member by attending 2 of the last 4 meetings(s) and by making a successful request for voting membership to the Sponsor Chair, see Clause 4.3.2.

The participant becomes a voting member on receiving notification from the Sponsor Chair.

#### **4.3.1 Requirements for Voting Members**

These requirements do not apply to ex-officio voting members.

A voting member is required to attend 2 of the last 4 meetings, including electronic meetings.

A voting member is required to participate in 2 of the last 4 ballots of the Sponsor.

#### **4.3.2 Request to the Chair for Voting Membership**

A participant who wishes to become a voting member shall make a request to the Sponsor Chair indicating their:

- a) direct and material interest in the Sponsor's work
- b) qualifications
- c) membership of the IEEE Power and Energy Society
- d) membership of the IEEE-SA
- e) willingness to participate actively in one or more of the following activities:
  - 1) participating as a member or officer of one or more Sponsor subgroups
  - 2) assisting in the development of technical submissions for the Sponsor or its subgroups
  - 3) joining ballot pools and voting on IEEE-SA ballots of standards under this Sponsor
  - 4) serving as a liaison or other representative of the Sponsor

To be successful, a request for voting membership shall be approved by the Insulated Conductors Committee.

The Sponsor Chair shall respond to the request, indicating that it has been successful; or indicating that it has been unsuccessful, along with the reason.

#### **4.4 Review of Membership**

The rules in this clause do not apply to ex-officio voting members.

The Chair shall review the list of voting members at least annually. Voting members are expected to fulfill the requirements of active participation as defined in Clause 4.3. When a voting member does not meet these obligations, the Chair shall consider the matter for appropriate action, which may include termination of voting membership. The Chair may “specially maintain” a voting member’s status that would otherwise be lost by failing to meet their obligations. Reasons for such an action might include consideration of personal hardship, medical emergency, or outstanding contributions.

The Chair shall, at least annually, review the status of any members that are specially maintained to validate that the reasons are still applicable.

The Chair shall obtain the approval of the Insulated Conductors Committee Executive Committee meeting in executive session with a 2/3 vote to terminate an individual’s voting membership.

Upon termination, the Chair shall notify the individual of their new status, along with the reason.

#### **4.5 Ex-officio Voting Membership**

The following individuals are ex-officio voting members of the Sponsor:

- a) Its officers
- b) Chairs of the Sponsor’s WGs
- c) Chairs of the Sponsor’s other subcommittees
- d) Liaison representatives appointed by the Sponsor

An ex-officio voting member is not subject to attendance or ballot requirements, if any.

#### **4.6 Other Membership Classes**

Not Applicable.

#### **4.7 Membership Roster**

A membership roster is a vital aspect of standards development. It is an initial tool if an issue of indemnification arises during the process of standards development. The Secretary shall make reasonable efforts to maintain a current Sponsor roster. Due to privacy concerns, the roster shall not be distributed except to the IEEE-SA Board of Governors, IEEE-SA Standards Board, and IEEE-SA staff unless everyone on the roster has submitted written approval for such distribution. The roster shall include the following:

- a) Title of the Sponsor and its designation
- b) Scope of the Sponsor
- c) Officers: Chair, Vice-Chair / Secretary
- d) Members: for all, name, e-mail address, affiliation and membership status (e.g., voting member, etc.)
- e) For each subgroup other than Working Groups: subgroup title, Chair, and names, e-mail addresses, and affiliations of members

#### **4.8 Membership Public List**

A current and accurate Sponsor membership list shall be maintained. The membership list may be posted on the Sponsor web site and may be publicly distributed. The membership list shall be limited to the following:

- a) Title of the Committee and its designation
- b) Scope of the Committee
- c) Officers: Chair, Vice-Chair(s), Secretary, Treasurer, Standards Coordinator, Assistant Secretary
- d) Members: (for all) Name, affiliation

#### **5.0 Subgroups Created by the Sponsor**

The formation and disbandment of subgroups (e.g., Responsible Subcommittees, Executive committees (ExCom), Administrative committees (AdCom), ad hocs, PAR study groups, Working Groups, and other subgroups, such as writing groups) requires approval by a majority vote of the Sponsor as described in clause 7.1. Sponsor subgroups, other than Working Groups and their subgroups, operate under these Sponsor P&P.

The Sponsor shall outline all expectations with respect to how the subgroup shall function, including scope of work, deliverables, membership, and voting in the subgroup. The charge to the subgroup shall clearly state which activities are appropriate. The scope and duties delegated to the subgroup shall be approved at the time the subgroup is formed, and subsequent changes in scope or duties shall also require approval by a majority vote of the Sponsor. The scope, duties, and membership of all subgroups shall be reviewed annually by the Sponsor.

The Chair of a subgroup may be appointed by the Chair of the Sponsor, or nominated and elected by the Sponsor committee, or nominated and elected by the subgroup. The Sponsor may remove an officer of any subgroup by a 2/3 vote.

#### **5.1 Executive Committee**

The Sponsor may appoint an Executive Committee (ExCom) or Administrative Committee (AdCom) of at least five people to exercise some or all the responsibilities of the Sponsor. Executive or Administrative Committees shall have a Chair and at least one other officer.

## 5.2 Responsible Subcommittee

The Sponsor delegates authority to Responsible Subcommittees for the following, as referenced in this P&P:

- a) Formation, modification, and disbandment of subgroups, including Working Groups (Clauses 7.1.1.a and 7.1.1.b)
- b) Approval of Project Authorization Requests (PARs) (Clause 2.0.b)
- c) Submission of a proposed PAR to the IEEE-SA Standards Board for consideration. Following approval of the PAR, submitting the necessary requests for extension, modification, or withdrawal of the PAR as required by the *IEEE-SA Standards Board Operations Manual* (Clause 2.0.b)
- d) Submission of draft standards from a working group to the IEEE-SA for Sponsor ballot. (Clause 7.2.1)
- e) Change in scope of a standards project (Clause 7.2.2)

A Responsible Subcommittee shall have a Chair and at least one other officer. The chair of a Responsible Subcommittee appoints other officers or appoints an election officer to conduct an election for other officers of the Responsible Subcommittee. Additional officers (e.g., a Vice-Chair, a Secretary, Treasurer) should be chosen, depending on the scope of work. Members of the Responsible Subcommittee are appointed by the Chair of the Responsible Subcommittee.

Responsible Subcommittees, in activities associated with development, maintenance, or other activities associated with standards, shall adhere to the requirements of these Policies and Procedures, substituting Responsible Subcommittee for Sponsor, specifically with respect to:

- a) Responsibilities for Officers (Clause 3.4)
- b) Voting Membership Requirements (Clause 4.3)
- c) Review of Membership (Clause 4.4)
- d) Membership Roster (Clause 4.7)
- e) Membership Public List (Clause 4.8)
- f) PAR Development and PAR Study Group (Clauses 5.5 and 5.5.1)
- g) Other subgroups (Clause 5.6)
- f) Meetings (Clause 6.0)
- g) Quorum (Clause 6.1)
- h) Minutes (Clause 6.4)
- i) Approval of an Action (Clause 7.1)

- j) Actions Requiring Approval by a Majority Vote (Clause 7.1.1, for item a – formation of a working group, item b – disbanding of a working group, and item c – approval of minutes)
- k) Proxy Voting (Clause 7.3)
- l) Voting between Meetings (Clause 7.4)

Regardless of any delegation of responsibilities to a Responsible Subcommittee, the Sponsor remains responsible for oversight of actions taken by the Responsible Subcommittee and its conformance with these Policies and Procedures.

### **5.3 Working Groups – Individual Method**

Standards Working Groups are responsible for the definitive content of one or more documents and for responding to views and objections thereon. Such Working Groups shall maintain a membership roster and shall comply with the provisions for preparing standards.

If a Working Group being formed has individual-based membership, the Sponsor shall require that the individual standards Working Group adopt procedures consistent with the IEEE-SA Baseline Policies and Procedures for IEEE Standards Working Groups – Individual Method. In addition, the Sponsor shall review proposed amendments from its Working Groups to the Working Group Policies and Procedures to ensure that they are not in conflict with these procedures, the *IEEE-SA Standards Board Operations Manual* or with each other. A Sponsor may adopt one set of Working Group P&P—Individual Method, and require that it shall be used by all its individual working groups.

The Sponsor Chair shall appoint the initial Chair of a new Working Group. Voting membership shall be granted automatically to those participants attending the first meeting of a newly chartered Working Group upon their request. After the first meeting, voting members are subject to the requirements in the Membership clause of the Working Group Policies and Procedures.

### **5.4 Working Groups – Entity Method**

Standards Working Groups are responsible for the definitive content of one or more documents and for responding to views and objections thereon. Such Working Groups shall maintain a membership roster and shall comply with the provisions for preparing standards.

If a Working Group being formed has entity-based membership, the Sponsor shall require that the entity standards Working Group adopt procedures consistent with the IEEE-SA Baseline Policies and Procedures for IEEE Standards Working Groups – Entity Method. In addition, the Sponsor shall review proposed amendments from its Working Groups to the Working Group Policies and Procedures to ensure that they are not in conflict with these procedures, the *IEEE-SA Standards Board Operations Manual* or with each other.

A Sponsor may adopt one set of Working Group P&P—Entity Method, and require that it shall be used by all its entity standard Working Groups.

The Sponsor Chair shall appoint the initial Chair of a new Working Group. Voting membership shall be granted automatically to those entities attending the first meeting of a newly chartered Working Group upon their request, provided they fulfill the requirements of the Clause 5.2.1 “Participation in IEEE standards development” of the *IEEE-SA Standards Board Bylaws*, as applicable for Working Groups using the entity method.

## **5.5 PAR Development**

When a proposal comes before a Sponsor concerning a standards development project, the Sponsor may form a PAR Study Group or may task an existing Working Group to examine the proposal and, if the proposal merits formation of a project, draft a Project Authorization Request (PAR) for consideration by the Sponsor.

The *IEEE-SA Standards Board Operations Manual* states, under “Duties of the Sponsor” the following mandatory requirement: “Submit a properly completed Project Authorization Request (PAR) for IEEE-SA Standards Board approval within six months of the first decision to initiate the project.”

### **5.5.1 PAR Study Group**

A PAR Study Group is formed as a subgroup of the Sponsor, and is subject to all relevant operating procedures concerning subgroups of the Sponsor and parent bodies, including the IEEE-SA Standards Board.

After the PAR Study Group recommendations have been considered by the Sponsor, and the PAR approved by the IEEE-SA Standards Board (if applicable), the Sponsor should disband the Study Group.

### **5.5.2 Criteria for Consideration of a PAR by the Sponsor**

The Sponsor should consider, and the PAR Study Group or Working group should therefore address, the following issues when evaluating a project proposal:

- a) Potential market acceptance of the standards project, including technical feasibility
- b) Relationship to related standards, if known, including its distinct identity from other projects
- c) Viable volunteer leadership and participation
- d) Realistic scope and objectives

### **5.5.3 Participation and Voting**

Any participant in attendance (for a PAR Study Group or Working Group developing a PAR under the individual method) or entity, as defined in Clause 5.2.1.2 “Membership requirements

for standards developed under the entity method” in the *IEEE-SA Standards Board Bylaws* (for a PAR Study Group or Working Group developing a PAR under the entity method) may vote on motions in a PAR Study Group or Working Group related to development of a PAR. Approval shall be by a greater than 50% approval vote of those present and voting either “approve” or “disapprove.”

#### **5.5.4 Submission of a PAR to the Sponsor**

The PAR Study Group or Working Group shall report its recommendations on the formation of the project to the Sponsor. The deliverable from the PAR Study Group or Working Group to the Sponsor should be a report addressing the criteria for consideration and, if appropriate, a draft PAR. The report should include a roster of participants and minutes.

#### **5.6 Other Subgroups**

The Sponsor may form and disband other subgroups (e.g., Technical advisory groups, ad hocs, and standing committees) with approval by a *majority* vote of the Sponsor. The charge to the subgroup shall clearly state which of the following complementary activities is appropriate:

- 1) The subgroup is responsible for the definitive content of one or more documents and for responding to views and objections thereon. Such subgroups shall maintain a membership roster and shall comply with the provisions for preparing standard(s).
- 2) The subgroup is responsible for assisting the Sponsor (e.g., drafting all or a portion of a document, drafting responses to comments, drafting public statements on standards, or other purely advisory functions).

The Sponsor shall outline all expectations with respect to how the subgroup shall function, including scope of work, deliverables, membership, voting in the subgroup, and parliamentary procedures for approval to move any deliverables to the Sponsor for action.

#### **6.0 Meetings**

Sponsor meetings may be conducted exclusively via electronic means or in-person or in-person with one or more participants contributing via electronic means. Sponsor meetings shall be held, as decided by the Sponsor Chair, or by petition of 5 or more voting members, to conduct business such as making assignments, receiving reports of work, progressing draft standards, resolving differences among subgroups, and considering views and objections from any source. A meeting notice shall be distributed to all members at least 30 days in advance of a face-to-face meeting and at least 15 days in advance for an electronic (including teleconference) meeting. A meeting agenda (including participation information) shall be distributed to all members at least 10 days in advance of a face-to-face meeting, and at least 5 days in advance for an electronic meeting. Meetings of subgroups may be held as decided upon by the members or Chair of the subgroup. Notification of the potential for action shall be included on any distributed agendas for meetings.

All IEEE Standards development meetings are open to anyone who has a material interest and wishes to attend. However, some meetings may occur in Executive Session (see Clause 6.2).

### **6.1 Quorum**

A quorum shall be identified before the initiation of Sponsor business at a meeting, but if a quorum is not present, actions may be taken subject to confirmation by letter or electronic ballot, as detailed in Clause 7.4. When the voting membership is less than 50 voting members, a quorum shall be defined as a majority of the current total voting membership. When the voting membership is 50 or more voting members, a quorum shall be defined as 10% of the current total voting membership or 26, whichever is greater. Voting members who recuse themselves shall not be counted in the equation to determine whether a quorum exists.

### **6.2 Executive Session**

Meetings to discuss personnel or sensitive business matters (e.g., the negotiation of contracts), or for other appropriate non-public matters (e.g., the receipt of legal advice), may be conducted in Executive Session.

The matters discussed in executive session are confidential, and therefore, attendance at the Executive Session shall be limited to those with governance authority, outside advisors (e.g., lawyers or consultants) where necessary to provide professional guidance, and select IEEE-SA staff who may have information or a perspective relevant to the subject matter discussed in Executive Session. An individual may be invited to join for a portion of the discussion and then excused at the appropriate time. In each case, except as authorized by the Sponsor, participants in an Executive Session are prohibited from discussing or disclosing any information presented and discussed during such Executive Session to a third party or other person not present during the Executive Session, and shall not continue to discuss such matters after the Executive Session has adjourned.

Executive Sessions should be conducted face-to-face (in person) to provide the greatest assurance that the content of such Executive Sessions will be kept confidential. However, when necessary, Executive Sessions may include participants who participate by teleconference provided such persons agree not to disclose any information so discussed, and agree that they will participate in such conference in a manner that does not result in third parties gaining access to such discussions or information.

### **6.3 Meeting Fees**

The Sponsor may charge a meeting fee to cover the expenses of the Sponsor. The fee shall not be used to restrict participation by any interested parties. The Sponsor Officers shall set the meeting fees in consultation with those planning a particular meeting.

Everyone who attends a meeting, except for those specifically excluded due to hardship, shall pay the meeting fee. In the event that a member of the Sponsor has a financial difficulty and is unable to pay the meeting fee, the member may apply to the Sponsor Chair for a partial or

complete waiver of the meeting fee. The Chair and Treasurer shall make the decision to grant such relief. These discussions shall remain private.

## **6.4 Minutes**

The minutes shall record the essentials in concise format, including the following:

- a) Name of group
- b) Date and location of meeting
- c) Officer presiding, including the name of the secretary who wrote the minutes
- d) Meeting participants, including affiliation and voting member status at the end of the meeting.
- e) Call to order, Chair's remarks
- f) Reminders of IEEE policies, such as Patent policy, and Copyright policy
- g) The fact that a Call for Patents occurred and any responses made to such Call
- h) Approval of minutes of previous meeting
- i) Technical topics
- j) Brief summary of discussions and conclusions
- k) Motions exactly as they are stated, including the names of mover and seconder, and the outcome of each motion
- l) Technical topics
  - 1) Brief summary of discussions and conclusions
  - 2) Motions exactly as they are stated, including the names of mover and seconder, and the outcome of each motion
- m) Action items
- n) Items reported out of executive session
- o) Recesses and time of final adjournment
- p) Next meeting—date, time, and location

All submissions, presentations, and reports considered during the meeting shall be referenced in the minutes, identifying the source of the submission. URLs should be provided where possible.

The following shall not be recorded in minutes:

- Transcriptions of detailed discussions
- Attributions of comments to specific participants

## **7.0 Voting**

### **7.1 Approval of an Action**

Approval of an action requires approval by a majority (or two-thirds) vote as specified below in 7.1.1 (majority), and 7.1.2 (two-thirds). The “majority, two-thirds vote” is defined as one of the following:

- a) At a meeting (including teleconferences) where quorum has been established, a vote carried by majority (or two-thirds) approval of the votes cast (i.e., Approve or Do Not Approve votes, excluding abstentions) by the voting members in attendance.
- b) By electronic means (including email), a vote carried by majority (or two-thirds) of the votes cast (i.e., Approve or Do Not Approve votes, excluding abstentions), provided a majority of all the voting members of the Sponsor responded.

### **7.1.1 Actions Requiring Approval by a Majority Vote**

The following actions require approval by a majority vote:

- a) Formation or modification of a subgroup, including its procedures, scope, and duties
- b) Disbandment of subgroups
- c) Approval of minutes
- d) Delegation to a subgroup for Authorization for submittal of a PAR, modification of a PAR, withdrawal of a PAR, or Sponsor balloting
- e) Approval to co-sponsor a project (PAR).
- f) Acceptance of the activity(ies) of the subgroup following conclusion or disbanding.

### **7.1.2 Actions Requiring Approval by a Two-thirds Vote**

The following actions require approval by a two-thirds vote:

- a) Adoption of new or revised Sponsor procedures,\* interest categories, or revisions thereof
- b) Approval of public statements
- c) Approval of change of the Sponsor scope\*
- d) Approval of termination of the Sponsor\*
- e) Removal of an officer except the Chair

\* Following Sponsor approval, items a, c, and d require notice to the IEEE-SA Standards Board.

## **7.2 Sponsor Approvals**

### **7.2.1 Moving a Draft Standard to Sponsor ballot**

Moving a draft standard to Sponsor ballot requires approval of the Sponsor. Approval by the Sponsor shall be limited to conducting a procedural review. The Sponsor may delegate this approval authority to a subgroup.

### **7.2.2 Change in Scope of a Standards Project**

Sponsor approval is required for any substantive change in the scope of a standard or project. The Sponsor may delegate this approval authority to a subgroup. Requested changes shall be reviewed to ensure that the standards project remains within the scope of the Sponsor and shall also be reviewed to determine if the standards project requires a modified PAR.

### **7.3 Proxy Voting**

A subgroup chair that is an ex-officio member of the Sponsor by virtue of that office may designate another officer of the subgroup to act as their proxy when they are unable to attend a meeting of the Sponsor.

The subgroup chair shall inform the Sponsor chair of this proxy assignment prior to the meeting at which it is in effect. The Sponsor chair shall announce the proxy assignment at the start of the meeting. The proxy assignment lasts for the duration of that meeting.

The proxy may vote on behalf of the subgroup chair during that meeting.

### **7.4 Voting Between Meetings**

At the discretion of the Chair, the Sponsor shall be allowed to conduct voting between meetings by the use of a letter or electronic ballot. If such actions are to be taken, they shall follow the rules of [IEEE Bylaw I-300.4\(5\)](#).

## **8.0 Communications**

All correspondence on behalf of the Sponsor shall contain identification of the Sponsor as the source of the correspondence.

### **8.1 Formal Internal Communication**

If correspondence between subgroups involves issues or decisions (that is, non-routine matters) affecting other subgroups, copies shall be sent to all affected subgroup Chairs and the Sponsor officers.

### **8.2 External Communication**

Inquiries relating to the Sponsor shall be directed to the Chair, and members shall so inform individuals who raise such questions. All replies to inquiries shall be made through the Chair.

### **8.3 Public Statements for Standards**

All Sponsor public communications shall comply with the policies of the *IEEE-SA Standards Board Operations Manual*.

Individuals making public statements that have not been approved by the Sponsor shall state that they are speaking as individuals, and their views do not necessarily represent the views of the Sponsor, IEEE-SA, or IEEE (see clause 5.2.1.6 “Official statements” in the *IEEE- SA Standards Board Bylaws*).

### **8.3.1 Sponsor Public Statements**

Sponsor public statements shall not be released without prior approval as per Clause 7.1.2 of these procedures.

### **8.3.2 Subgroup Public Statements**

Subgroup public statements shall not be released without prior approval of the subgroup. Such public statements also require approval of the Sponsor.

Subgroup public statements shall be identified in the first paragraph of the public statement as being specifically the position of the subgroup, and do not necessarily represent the views of the Sponsor, IEEE-SA, or IEEE. These statements shall be issued by the subgroup Chair and shall include the Sponsor Chair in the distribution. Such statements shall not bear the IEEE, the IEEE-SA, or the Sponsor logos.

### **8.3.3 Public Statements to be Issued by other Entities**

If the Sponsor wishes to go to another IEEE entity (as defined in Section [15](#) of the IEEE Policies) to have that entity offer a public statement on a standards matter, they shall do so only after agreement from the IEEE-SA Standards Board and approval from the IEEE-SA Board of Governors. Upon Sponsor approval, proposed public statements that need to be issued by other IEEE entities shall be forwarded to the IEEE-SA Standards Board Secretary for further action.

### **8.4 Informal Communications**

Informal communications shall not imply that they are a formal position of the IEEE, the IEEE-SA, or the Sponsor.

### **8.5 Standards Publicity**

The Sponsor is encouraged to prepare press releases and other forms of publicity to promote their activities. Please see clause 5.1.4 “Standards publicity” of the *IEEE-SA Standards Board Operations Manual* for further instructions.

## 9.0 Appeals

Technical discussions and decisions occur within the Sponsor subgroup (e.g., Working Group). Any person dissatisfied with a technical decision shall follow the approved procedures for providing technical input to the subgroup that made the decision, including but not limited to making a technical comment during the applicable comment submission and/or balloting period.

The *Sponsor* recognizes the right of appeal on procedural grounds. Procedural concerns within a Sponsor subgroup shall be first presented to the subgroup or Working Group for resolution. If the procedural concern is not resolved after presentation to the subgroup for resolution, the complaint regarding any procedural action or inaction on the part of the *Sponsor*, or a *Sponsor's* subgroup, shall be presented to the *Sponsor* for resolution. If the party bringing the complaint determines that the *Sponsor's* proposed resolution is unacceptable, such party can file a procedural appeal with the Sponsor.

Appeals to the Sponsor shall be addressed as follows:

The appellant shall file a written appeal to the Sponsor Chair within 30 days of the event (action or inaction). The written appeal shall state the nature of the issue, including any adverse effects; the clause(s) of the procedures that are at issue; summarize previous attempts to resolve the issue with the subgroup or Working Group that is responsible for the standard including the outcome/decision of each attempt; and propose specific remedies that would satisfy the appellant's concerns. The appellant shall provide any supplemental information necessary to support the claims made in the appeal.

Upon receiving a written appeal, the Sponsor Chair shall form an Appeal Committee consisting of at least five members of the Sponsor, none of whom were directly involved in the matter in dispute, and who will not be materially or directly affected by any decisions made concerning the dispute and shall appoint a chair. The chair of the Appeal Committee shall be an officer of the Sponsor, if possible. The Appeal Committee shall review the written appeal and decide, within 45 days of the submission of the written appeal, whether or not to recommend to the Sponsor that an Appeal Panel be formed to consider the appeal. The Appeal Committee may try to resolve the issue with informal discussions with the concerned parties prior to making a decision about an Appeal Panel. The Appeal Committee may decline to recommend an Appeal Panel if (i) the appeal deals with ethical issues, (ii) the appeal deals with technical issues, or (iii) the appellant has not attempted to first resolve the issue informally with the subgroup or Working Group that is responsible for the standard. If the Appeal Committee declines to recommend an Appeal Panel based on these criteria, the Appeal Committee shall provide a written communication to the *Sponsor* Chair and the appellant outlining the reason for its decision.

If the Appeal Committee determines that the appeal submission is acceptable, the *Sponsor* Chair shall form an Appeal Panel consisting of an Appeal Panel Chair and two other members, none of whom were directly involved in the matter in dispute, and who will not be materially or directly

affected by any decisions made concerning the dispute. The Appeal Panel shall have at least two members that are acceptable to both the appellant and the appellee.

The Appeal Panel shall hear the appeal during a time convenient to all parties (with at least two weeks' notice to all concerned parties), and, if possible, prior to the next regular meeting of the Sponsor. The appeal may be conducted in person or by telephone conference. Failure of the appellant to participate in the hearing (barring unforeseen technical difficulties) shall constitute a withdrawal of the appeal.

A written decision shall be rendered by the Appeal Panel within 30 days of hearing the appeal and communicated to the parties concerned, including the Chair of the Appeal Committee and the Sponsor Chair.

Sponsor procedural appeals shall be conducted as follows:

The number of participating (i.e., speaking) representatives for each of the parties to the appeal is limited to a maximum of three (3). Other individuals may attend the hearing, but will not be permitted to address, or be addressed by, the Sponsor's Appeal Panel or either of the parties to the appeal at any time (including during the question and answer period). No recordings or verbatim transcriptions of the hearing are allowed, except by the IEEE-SA at its sole discretion.

The Sponsor Appeal Panel may call an Executive Session before, during the course of, or following an appeal hearing to consider its action on a specific appeal.

No party to an appeal may communicate with any member of the Sponsor Appeal Panel regarding the appeal while the matter is pending (i.e., from the time of filing of the appeal brief to finalization of the Sponsor Appeal Panel decision).

The appellant has the burden of demonstrating adverse effects, improper action(s) or inaction, and the efficacy of the requested remedial action. Each party may adduce other pertinent arguments, and members of the Sponsor Appeal Panel may address questions to individuals. The Sponsor Appeal Panel shall only consider documentation included in the appeal brief and reply brief, unless

- a) Significant new evidence has come to light; and
- b) Such evidence reasonably was not available to the appellant or appellee, as appropriate, at the time of filing; and
- c) Such evidence was provided by the appellant or appellee, as appropriate, to the other parties as soon as it became available.

Prior to the hearing, the members of the Sponsor Appeal Panel may convene to review the ground rules before the participants appear.

If, after completion of the Sponsor's appeals process, the party bringing the complaint determines that the Sponsor's Appeals Panel decision is unacceptable, such party can file a procedural appeal with the IEEE-SA Standards Board as described in Clause 9 on "Appeals" of the *IEEE-SA Standards Board Operations Manual*.